

Practitioner's Docket No. 740789-56330

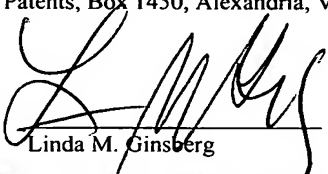
PATENT

IN THE UNITED STATES RECEIVING OFFICE

| | | |
|-------------------------------------|------------------------------|---|
| PCT/GB2003/003054 | 14 July 2003 (14.07.2003) | 13 July 2002 (13.07.2002) |
| International Application Number | International Filing Date | International Earliest Priority Date |

TITLE OF INVENTION: FLAVONOID COMPOUNDS AS THERAPEUTIC ANTIOXIDANTS

APPLICANT(S) FOR EO/DO: ROWETT RESEARCH INSTITUTE
INVENTOR(S)/APPLICANT(S) FOR US: CALDWELL, Stuart Thomas; BENNETT, Christopher James; HARTLEY, Richard Charles; MCPHAIL, Donald Barton; DUTHIE, Garry Graeme

| CERTIFICATE OF MAILING | |
|---|--|
| I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail No. ET868722428US in an envelope addressed to MAIL STOP PCT, Commissioner of Patents, Box 1450, Alexandria, VA 22313-1450. | |
| Date: <u>January 13, 2005</u> |  Linda M. Ginsberg |

MAIL STOP PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants wish to bring to the Examiner's attention the following references, References A1, and C1-C3 cited in the attached Forms PTO/SB/08a and b.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- X (1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or

INTERNATIONAL APPL. NO.

INT. FILING DATE

PCT/GB2003/003059

14 July 2003

10/521232
DT15 Rec'd PCT/PTO 13 JAN 2005
PRIORITY DATE CLAIMED

July 2002

- (2) within three months of the mailing date of the PCT International Search Report; or
- (3) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and the requisite Certification or fee under Rule 1.17(p), namely \$180.00, is included herein; or
- (4) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, and the requisite Certification, petition, and petition fee are included herein.

X A copy of the International Search Report is enclosed herewith.

It is respectfully requested that each of the documents shown on the attached form(s) PTO/SB/08a and b be made of record in this application.

Copies of these documents (CHECK ONE):

X are enclosed herewith that have not been previously submitted; or

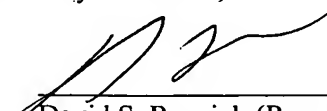
— have been cited in the parent application, and are thus not being resubmitted herein.

FEE AUTHORIZATION

The Commissioner is authorized to charge fee deficiencies or credit overpayments associated with this submission to the NIXON PEABODY LLP Deposit Account No. 50-0850.

Date: January 13, 2005

Respectfully submitted,



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Nicole L.M. Valtz (Reg. No. 47,150)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

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| | |
|------------------------|------------------------|
| Application Number | PCT/GB2003/003054 |
| Filing Date | To be assigned |
| First Named Inventor | Stuart Thomas Caldwell |
| Art Unit | To be assigned |
| Examiner Name | To be assigned |
| Attorney Docket Number | 740789-56330 |

[illegible][illegible]Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Substitute for form 1449B/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p> | | | | Complete if Known | |
| | | Application Number | PCT/GB2003/003054 | | |
| | | Filing Date | To be assigned | | |
| | | First Named Inventor | Stuart Thomas Caldwell | | |
| | | Art Unit | To be assigned | | |
| | | Examiner Name | To be assigned | | |
| | | Attorney Docket Number | 740789-56330 | | |
| Sheet | 1 | of | 1 | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | C1 | DE MEYER, N. et al., J. Med. Chem., 34:736-746, (1991). | |
| | C2 | MIRANDA, C.L. et al., J. Agric. Food Chem., 48:3876-3884, (2000). | |
| | C3 | SUTTHIVAIYAKIT, S. et al., Tetrahedron, 58:3619-3622, (2002). | |
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| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
 This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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